



Attorney's Office No. 055738/00005

H14 06-08-01

#3 & Sector

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Richard S. Raskin  
Allan M. Phillips  
William Duncan Kane III

Examiner: Not Yet Assigned

Serial No.: 09/800,108

Group Art Unit : 2161

Filed: March 5, 2001

For: **METHOD AND SYSTEM FOR CONTROLLING AN  
EMPLOYER'S HEALTH CARE COSTS WHILE ENHANCING  
AN EMPLOYEE'S HEALTH CARE BENEFITS**

June 7, 2001

**REPLY TO NOTICE TO FILE MISSING PARTS  
MAILED APRIL 16, 2001**

Assistant Commissioner for Patents  
Washington, DC 20231  
Attn: BOX MISSING PARTS

Sir:

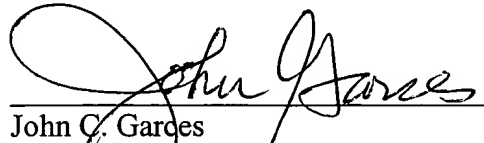
This is in reply to the Notice to File Missing Parts of Non-Provisional Application-  
Filing Date Granted mailed April 16, 2001, Part 2 of which is enclosed herewith. This Reply is  
being submitted within the two-month shortened statutory period set for response in the Notice.  
The period for reply expires on June 16, 2001.

To complete the filing requirements in the above-referenced patent application, Applicants respectfully submit herewith a combined Declaration, Power of Attorney and Petition signed by the inventors (in parts) and a check in the amount of \$573.00 covering the \$355.00 basic filing fee, \$153.00 in additional claims fees and the \$65.00 surcharge.

Please charge any fee deficiency and credit any overpayment in connection with this Reply to the undersigned attorney's Deposit Account No. 50-0540.

Respectfully submitted,

By:



John C. Garces

Reg. No. 40,616

Attorney for Applicants

KRAMER, LEVIN, NAFTALIS & FRANKEL LLP

919 Third Avenue

New York, New York 10022

(212) 715-7635

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10

"Express Mail" mailing label number: EL648516449US

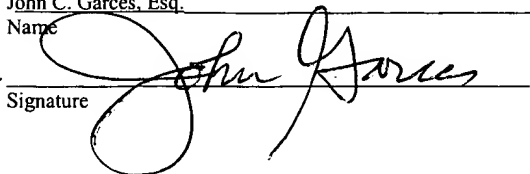
Date of Deposit: June 7, 2001

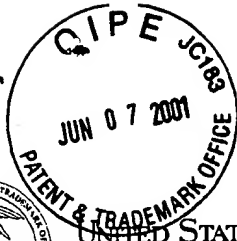
I hereby certify that this paper or fee is being deposited with United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, BOX MISSING PARTS

John C. Garces, Esq.

Name

Signature





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/800,108	03/05/2001	Richard S. Raskin	055738/00005

CONFIRMATION NO. 2745

## FORMALITIES LETTER



\*OC000000005973663\*

KRAMER LEVIN NAFTALIS & FRANKEL LLP  
919Third Avenue  
New York, NY 10022

Date Mailed: 04/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$153.
  - \$153 for 17 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 573.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/12/2001 42ERGAW1 00000020 09800108  
01 FC:201 355.00 OP  
02 FC:203 153.00 OP  
03 FC:205 65.00 OP

Attorney Docket No. 055738/00005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Richard S. Raskin  
Allan M. Phillips  
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Examiner: Not Yet Assigned

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Filed: March 5, 2001

For: **METHOD AND SYSTEM FOR CONTROLLING AN  
EMPLOYER'S HEALTH CARE COSTS WHILE ENHANCING  
AN EMPLOYEE'S HEALTH CARE BENEFITS**

June 7, 2001

**REQUEST FOR EARLY PUBLICATION  
UNDER § 1.219**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

As attorney of record for Applicants, I hereby request early publication of the above-indicated patent application, namely U.S. Patent Application Serial No. 09/800,108, under 37 CFR § 1.219 and 35 USC § 122(b). Applicants respectfully request that publication be effected as soon as possible.

An executed declaration under 37 CFR § 1.63 and a check in the amount of \$300.00 covering the publication fee are enclosed to complete the early publication requirements under 37 CFR § 1.219 and general publication requirements under 37 CFR § 1.211. Early consideration of this request is respectfully solicited.

06/13/2001 AZERGAW1 00000011 09800108

01 FC:195

300.00 0P

06/12/2001 AZERGAW1 00000020 09800108

300.00 0P

04 FC:195

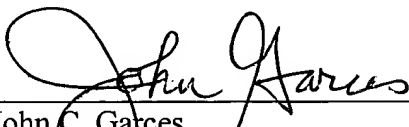
Adjustment date: 06/13/2001 AZERGAW1  
06/12/2001 AZERGAW1 00000020 09800108  
04 FC:195

300.00 0P

Please charge any fee deficiency and credit any overpayment in connection with  
this Reply to the undersigned attorney's Deposit Account No. 50-0540.

Respectfully submitted,

By:

  
John C. Garces  
Reg. No. 40,616  
Attorney for Applicants  
KRAMER, LEVIN, NAFTALIS & FRANKEL LLP  
919 Third Avenue  
New York, New York 10022  
(212) 715-7635

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10

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Assistant Commissioner for Patents, Washington, D.C. 20231,  
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John C. Garces, Esq.

Name

Signature

